

2-18-00

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PTO/SB/05 (4/98)

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00/97/20
JULY 2000
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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 003364.P038

Total Pages

(all documents)

First Named Inventor or Application Identifier

Ho-Jin Kweon

POSITIVE ACTIVE MATERIAL...

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02/16/00
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APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification Total Pages 18</p> <ul style="list-style-type: none"> - Descriptive Title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (<i>if filed</i>) - Detailed Description - Claims - Abstract of the Disclosure <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets 3</p> <p>4. <input checked="" type="checkbox"/> Oath of Declaration Total Pages 3</p> <p>a. <input type="checkbox"/> Newly executed (original copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33 (b).</p> | <p>5. <input type="checkbox"/> Microfiche Computer Program (<i>Appendix</i>)</p> <p>6. <input type="checkbox"/> Nucleotide &/or Amino Acid Sequence Submission
(<i>if applicable, all necessary</i>)</p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |
|--|---|

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 CFR 3.73(b) Statement Power of Attorney
(*when there is an assignee*)
9. English Translation Document (*if applicable*)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
13. Small Entity Statement filed in prior app Statement(s) Status still proper and desired
14. Certified Copy of Priority Document(s)
(*if foreign priority is claimed*)
15. Other: REQUEST FOR PRIORITY

16. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below & in a preliminary amendment:
 Continuation Divisional Continuation-in-part (CIP) of prior application no: _____

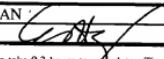
Prior application information: Examiner: _____

Group/Art Unit: _____

For Continuation or Divisional Apps only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

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Name (Print/Type)	ERIC S. HYMAN	REG. NO. 30,139
Signature		Date February 15, 2000

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Complete If Known

FEE TRANSMITTAL

Note: Effective October 1, 1997

Patient fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT **\$690.00 and \$40.00****Application Number****Filing Date****First Named Inventor****HO-JIN KWEON****Group Art Unit****Examiner Name**Attorney Docket No. **003364.P038****METHOD OF PAYMENT (check one)****FEES CALCULATION (continued)**

1. The Commissioner is hereby authorized to charge indicated fees & credit any overpayments to:

Acct # **022666**Acct Name **Blankley Sokoloff Taylor & Zafman**

Charge any add'l fee required under 37 CFR 1.18 at the mailing of the Notice of Allowance
CPR 1.16 & 1.17

2. Payment Enclosed:

Check Money Order Other

Fee Calculation**1. Filing Fee**

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee
106	310	206	155	Design filing fee
107	480	207	240	Plant filing fee
108	690	208	345	Reissue filing fee
114	150	214	75	Provisional filing fee
Subtotal (1)			\$ 690.00	

2. Claims

Total Claims	*	Extra	Fee from Below	Fee Paid
4	20	0	X	
Ind. Claims	2	3	0	X

Multiple Dependent Claims

Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 & over original patent
Subtotal (2)			(\$).00	

3 Additional Fees

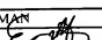
Large Entity Code	Small Entity Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge-late filing fee or oath
127	50	227	25	Surcharge-late provisional filing fee or cover sheet
139	130	139	130	Non-English specification
147	2520	147	2520	For filing a request for reexamination
112	920*	112	920*	Requesting publication of SIR prior to examination
113	1840*	113	1840*	Requesting publication of SIR after Examiner action
115	110	215	55	Extension for reply within 1st month
116	380	216	190	Extension for reply within 2nd month
117	870	217	435	Extension for reply within 3rd month
118	1360	218	680	Extension for reply within 4th month
128	1850	228	925	Extension for reply within 5th month
119	300	219	150	Notice of Appeal
120	300	220	150	Filing a brief in support of appeal
121	260	221	130	Request for oral hearing
138	1510	138	1510	Petition to institute a public use proceeding
140	110	240	55	Petition to Revive-unavoidable
141	1210	241	605	Petition to Revive-unintentional
142	1210	242	605	Utility issue fee (or reissue)
143	430	243	215	Design issue fee
144	580	244	290	Plant issue fee
122	130	122	130	Petitions to the Commissioner
123	50	123	50	Petitions related to provisional applications
126	240	126	240	Submission of IDS
581	40	581	40	Recording & each patent assignment per property
146	760	246	380	Filing a submission after final rejection
149	760	249	380	For each add'l invention to be examined

Other fee (specify) _____

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

Subtotal (3) (\$ 40.00)**SUBMITTED BY****COMPLETE (if applicable)**

Name	ERIC S. HYMAN	Reg. Number	30,139
Signature		Date	7/16/2001

*Highest number of claims previously paid off if an amendment is being transmitted.

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Our Ref.: 003364.P038
Express Mail No. EM014064880US

UTILITY APPLICATION FOR UNITED STATES PATENT

FOR

POSITIVE ACTIVE MATERIAL FOR RECHARGEABLE LITHIUM
BATTERIES AND METHOD OF PREPARING SAME

Inventor(s): Ho-Jin Kweon Et Al.

JC639 U.S. PTO
09/505389

02/16/00